


|   |                 |    |                          |                   |  |
|---|-----------------|----|--------------------------|-------------------|--|
| Substitute for form 1449A/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(Use as many sheets as necessary)</i> |                 |    | <b>Complete if Known</b> |                   |  |
|   |                 |    | Application Number       | 09/998,916        |  |
|   |                 |    | Filing Date              | November 30, 2001 |  |
|   |                 |    | First Named Inventor     | Teng, Joan C.     |  |
|   |                 |    | Art Unit                 | 2145              |  |
| Examiner Name   | Adnan M. Mirza  |    |                          |                   |  |
| Attorney Docket Number  | 021756-012000US |    |                          |                   |  |
| Sheet   | 1               | of | 3                        |                   |  |

| U.S. PATENT DOCUMENTS |                          |  |                                |  |   |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | Number Kind Code <sup>2</sup> (if known) |                                |  |   |
| Am                    | AA                       | US-5901287                               | 05/04/1999                     | Bull et al.  |   |
|                       | AB                       | US-6104705                               | 08/15/2000                     | Ismail et al.                                      |   |
|                       | AC                       | US-6356996                               | 03/12/2002                     | Adams  |   |
|                       | AD                       | US-6487600                               | 11/26/2002                     | Lynch  |   |
|                       | AE                       | US-6859823                               | 02/22/2005                     | Nishihara et al.                                   |   |
|                       | AF                       | US-6986138                               | 01/10/2006                     | Sakaguchi et al.                                   |   |
|                       | AG                       | US-7107526                               | 09/12/2006                     | Weller   |   |
|                       | AH                       | US-7124354                               | 10/17/2006                     | Ramani et al.                                      |   |
|                       | AI                       | US-2003/0105654                          | 06/05/2003                     | Macleod et al.                                     |   |
|                       | AJ                       | US-2006/0195575                          | 08/31/2006                     | Delany   |   |
|                       | AK                       | US-2006/0212574                          | 09/21/2006                     | Maes   |   |
|                       | AL                       | US-6105006                               | 08/15/2000                     | Davis et al.                                       |   |
|                       | AM                       | US-                                      |                                |  |   |
| AN                    | US-                      |  |                                |  |   |
| AO                    | US-                      |  |                                |  |   |
| AP                    | US-                      |  |                                |  |   |
| AQ                    | US-                      |  |                                |  |   |
| AR                    | US-                      |  |                                |  |   |

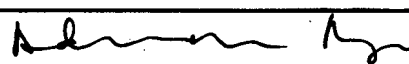
| FOREIGN PATENT DOCUMENTS |                          |                           |                     |                                   |                                |  |   |                          |
|--------------------------|--------------------------|---------------------------|---------------------|-----------------------------------|--------------------------------|--|---|--------------------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   |                     |                                   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup>           |
|                          |                          | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) |                                |  |   |                          |
|                          | AS                       |                           |                     |                                   |                                |  |   | <input type="checkbox"/> |

|                       |   |                    |          |
|-----------------------|---|--------------------|----------|
| Examiner<br>Signature |  | Date<br>Considered | 05/15/07 |
|-----------------------|---|--------------------|----------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

|   |   |    |   |                          |                   |
|---|---|----|---|--------------------------|-------------------|
| Substitute for form 1449B/PTO   |   |    |   | <b>Complete if Known</b> |                   |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   |    |   | Application Number       | 09/998,916        |
|   |   |    |   | Filing Date              | November 30, 2001 |
|   |   |    |   | First Named Inventor     | Teng, Joan C.     |
|   |   |    |   | Art Unit                 | 2145              |
|   |   |    |   | Examiner Name            | Adnan M. Mirza    |
| Sheet   | 2 | of | 3 | Attorney Docket Number   | 021756-012000US   |

| NON PATENT LITERATURE DOCUMENTS |                          |   |                          |  |
|---------------------------------|--------------------------|---|--------------------------|--|
| Examiner<br>Initials *          | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book,<br>magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city<br>and/or country where published. | T <sup>2</sup>           |  |
| AM                              | BA                       | US Patent Application No. 09/997,408, Office Action dated August 7, 2006, 17 pages.   | <input type="checkbox"/> |  |
|                                 | BB                       | US Patent Application No. 09/997,409, Office Action dated May 3, 2006, 9 pages.   | <input type="checkbox"/> |  |
|                                 | BC                       | US Patent Application No. 09/998,893, Office Action dated July 17, 2006, 19 pages.  | <input type="checkbox"/> |  |
|                                 | BD                       | US Patent Application No. 09/998,893, Final Office Action dated January 4, 2007, 31 pages.  | <input type="checkbox"/> |  |
|                                 | BE                       | US Patent Application No. 09/998,893, Advisory Office Action dated March 22, 2007, 9 pages.   | <input type="checkbox"/> |  |
|                                 | BF                       | US Patent Application No. 09/998,895, Final Office Action dated July 20, 2006, 23 pages.  | <input type="checkbox"/> |  |
|                                 | BG                       | US Patent Application No. 09/998,895, Final Office Action dated January 18, 2007, 19 pages.   | <input type="checkbox"/> |  |
|                                 | BH                       | US Patent Application No. 09/998,895, Advisory Action dated April 10, 2007, 7 pages.  | <input type="checkbox"/> |  |
|                                 | BI                       | US Patent Application No. 09/998,898, Office Action dated March, 28, 2006, 9 pages.   | <input type="checkbox"/> |  |
|                                 | BJ                       | US Patent Application No. 09/998,898, Advisory Office Action dated December 29, 2006, 3 pages.  | <input type="checkbox"/> |  |
|                                 | BK                       | US Patent Application No. 09/998,898, Office Action dated March 7, 2007, 11 pages.  |                          |  |
| AM                              | BL                       | US Patent Application No. 09/998,908, Final Office Action dated August 22, 2006, 27 pages.  |                          |  |


|                       |   |                    |          |
|-----------------------|---|--------------------|----------|
| Examiner<br>Signature |  | Date<br>Considered | 08/15/07 |
|-----------------------|---|--------------------|----------|

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

|  |   |    |   |                          |                   |
|--|---|----|---|--------------------------|-------------------|
| Substitute for form 1449B/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   |    |   | <b>Complete If Known</b> |                   |
|  |   |    |   | Application Number       | 09/998,916        |
|  |   |    |   | Filing Date              | November 30, 2001 |
|  |   |    |   | First Named Inventor     | Teng, Joan C.     |
|  |   |    |   | Art Unit                 | 2145              |
|  |   |    |   | Examiner Name            | Adnan M. Mirza    |
| Sheet  | 3 | of | 3 | Attorney Docket Number   | 021756-012000US   |

| NON PATENT LITERATURE DOCUMENTS |                          |   |                |
|---------------------------------|--------------------------|---|----------------|
| Examiner<br>Initials *          | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
| Am                              | BM                       | US Patent Application No. 09/998,910, Office Action dated September 27, 2006, 14 pages.   |                |
|                                 | BN                       | US Patent Application No. 09/998,910, Final Office Action dated February 14, 2007, 17 pages.  |                |
|                                 | BO                       | US Patent Application No. 09/998,914, Final Office Action dated February 16, 2007, 16 pages.  |                |
|                                 | BP                       | US Patent Application No. 09/998,926, Office Action dated September 11, 2006, 21 pages.   |                |
|                                 | BQ                       | US Patent Application No. 09/998,926, Office Action dated February 26, 2007, 18 pages.  |                |
|                                 | BR                       | US Patent Application No. 10/145,684, Office Action dated June 30, 2006, 27 pages.  |                |
|                                 | BS                       | US Patent Application No. 10/146,150, Office Action dated May 30, 2006, 21 pages.   |                |
| Am                              | BT                       | US Patent Application No. 10/146,150, Advisory Office Action dated December 5, 2006, 15 pages.  |                |
|                                 | BU                       | Wahl, M., "Informed Control Enabling Identity Interoperability", <a href="http://www.ldap.com/1/commentary/wahl/20050203_01.shtml">http://www.ldap.com/1/commentary/wahl/20050203_01.shtml</a> , Feb 3, 2005, 3 pages.  |                |

|                       |   |                    |          |
|-----------------------|---|--------------------|----------|
| Examiner<br>Signature |  | Date<br>Considered | 08/15/07 |
|-----------------------|---|--------------------|----------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.